



2022

Berkeley
UNIVERSITY OF CALIFORNIA

Cy Pres Funds Allocation To

healthyworkplaces

AN INTERDISCIPLINARY CENTER

CY PRES FUNDS ALLOCATION TO **HealthyWorkplaces**

Cy Pres funds are unclaimed or leftover funds at the resolution of class-action cases. These funds result when class members cannot be located, cannot be identified, or are unable or unwilling to claim their shares of the settlement or judgment. The courts may also order that the funds not be distributed to class members if the cost of disbursement is greater than the amount of the settlement or judgment. The doctrine is rooted in the law of trusts where the courts attempt to give effect to intent of the trust by putting the funds to the next best use.¹

The state of California has passed laws that permit these funds to be allocated in ways “to further the purposes of the underlying causes of action, or to promote justice for all [citizens of the state].”² The funds must be directed toward (1) a charity that supports projects that benefit either the class or “similarly situated persons,” (2) an organization that “promote[s] the law consistent with the objectives and purposes of the underlying cause of action,” (3) a child advocacy program, or (4) a legal services organization for the indigent. Also, if the class action is a multi-state or national case brought under California law, the residual funds must be distribute to “provide substantial or commensurate benefit to California consumers.”

HealthyWorkplaces satisfies these requirements and is a perfect option for distribution of Cy Pres settlement proceeds. **HealthyWorkplaces** promotes change in organizations for purposes of employee health and well-being. The Center’s focus includes employee health and well-being on a variety of issues, including:

- Equality and fairness in the distribution of company resources including compensation, benefits, tools and equipment, training and development, advancement opportunities, workspace area, privacy, and recognition programs across for all employees regardless of:
 - Race
 - Color
 - National origin
 - Religion
 - Sex (including pregnancy, childbirth, and related medical conditions)
 - Disability: Physical or mental
 - Age (40 and older)
 - Genetic information
 - Marital status
 - Sexual orientation and identity

¹ “Cy Pres...Say what? State laws governing disbursement of residual class-action funds.” E.C. Baker & L.M. Barron. Jones Day,

² Cal. Code Civ. Proc. § 384; N.C. Gen. Stat. § 1-267.10 (2009)

- AIDS/HIV
- Medical condition
- Political activities or affiliations
- Military or veteran status
- Status as a victim of domestic violence, assault, or stalking
- Organizational policies and procedures that prohibit discrimination, harassment and unfair treatment;
- Regulatory compliance regarding wage/hour, employment, and occupational health and safety laws;
- Reduction of toxicity in materials employees are exposed to in the workplace;
- Improvement and use of ergonomic features and equipment that lower the likelihood of injury on the job;
- The design of workspaces to promote social connections, employee engagement and a sense of community;
- Nutritional food and food counseling; and
- Access to health resources (EAP) and healthcare.

The Center's activities are designed to:

- Provide scientific knowledge on **how to promote employee's physical, psycho-social, and emotional health and well-being.**
- Help employers **design workplaces and develop policies and practices that support employee health and well-being, and reduce stress, dissatisfaction, illness, injury, and disease.**
- Help employers **positively impact productivity, employee health status, healthcare costs, employee safety, absenteeism and turnover, and can enable employees to do their best work.**

Relevant Class Actions

HealthyWorkplaces would be appropriate for receipt of *Cy Pres funds* in the following types of class action lawsuits:

- Wage and hour violations (misclassification, overtime, off-the-clock work, minimum wage)
- Race, Gender, Religion, Disability, Pregnancy, Veterans, National Origin, Sexual Orientation, Gender Identity, Gender Expression and Age discrimination in hiring, termination, advancement, compensation, vacation and other employment decisions
- Toxic material spills, releases, and exposures
- Occupational health and safety violations
- Genetic information
- Reasonable accommodation claims
- Sexual harassment, hostile work environment claims
- Workers compensation claims
- Work-related accidents and injuries
- Work-related diseases and death claims
- Wrongful termination claims
- Retaliation claims
- Meal and rest breaks violations
- Leaves of absence, PTO
- Hate crimes, workplace violence
- NLRB violations
- Social network violations
- Whistleblower claims
- First Amendment rights violations
- Collective bargaining agreements
- Equal Protection Clause violations

Directors

Director: Cristina G. Banks, PhD



Dr. Banks, Director of the Interdisciplinary Center for Healthy Workplaces, Senior Lecturer in the Haas School of Business, and Founder of two successful management consulting firms, focuses on issues of job and organizational design to support employee performance, job satisfaction, personal development, and health and well-being. She has consulted to over 100 companies and is a national expert on wage/hour and employment law compliance. She has founded several non-profits and served as a Board Director for two publicly-held companies. Dr. Banks applies her considerable skills and experience to guide Center faculty and affiliates in leveraging the science underlying employee health and well-being and translating it into actionable, comprehensive interventions and practices.

Associate Director: Sheldon Zedeck, PhD



Dr. Zedeck, Associate Director of the Interdisciplinary Center for Healthy Workplaces, Professor Emeritus of Psychology and former Vice Provost of Faculty for UCB, has nearly 50 years of experience as an academic and practitioner focused on workplace issues. He has published research on a variety of topics including employment discrimination, work/life balance, performance management, and employment law compliance. He has served as an advisor to government and state agencies such as the US Department of Justice, Department of Labor, and the Department of State. He has also advised many organizations and testified in numerous legal cases in an effort to reverse adverse impact against minorities.

ICHW Staff

- Marit Christensen, PhD, Core Researcher, NTNU, Norway
- Siw Tone Innstrand, PhD, Core Researcher, NTNU Center for Health Promotion Research, Norway
- Christina Maslach, PhD, Core Researcher, Professor Emeritus of Psychology, College of Letters and Science, UCB
- Ed Yelin, PhD, Core Researcher, Emeritus Professor of Medicine, UCSF
- Ragnhild Wiik, PhD, Core Researcher, BI Norwegian Business School – campus Stavanger, Norway
- Alan Witt, PhD, Core Researcher, Industrial Organizational Psychology PhD Program Director, Professor, University of Houston.
- Sally Augustin, PhD, MBA, Core Researcher, Environmental/Design Psychologist, ICHW
- Gervais Tompkin, AIA, LEED AP, Consulting Expert, Workplace Sector Leader, Principal, Gensler
- Caitlin Declerq, PhD, Research Fellow, Assistant Director, Graduate Student Programs and Services, Center for Teaching and Learning Columbia University, UCB
- Walter Stella Esq., Consulting Expert, Cozen O'Connor
- Christopher Cunningham, PhD, Consulting Expert, University of Tennessee at Chattanooga
- Joan Price, Consulting Expert, Designer
- Laura Stock, Consulting Expert, Labor Occupational Health Program, UCB

Affiliates of the Center

- John Balmes, MD, Director, Center for Occupational and Environmental Health(COEH), UCSF, and Director, Berkeley Center for Environmental Health Tracking, UCB.
- Jonathan Bartling, Vice President at HGA Architects and Engineers.
- Joel Bennet, PhD, Founder and President of Organizational Wellness & Learning Systems (OWLS).
- Gail Brager, PhD, Professor of Architecture, College of Environmental Design, and Associate Director, Center for the Built Environment (CBE), UCB.
- Galen Craz, PhD, Professor of Architecture, College of Environmental Design, UCB.
- Lindsay Graham, PhD, Research Scientist, Center for the Built Environment, UCB.
- Gretchen Gscheidle, MS, Strategic Advisor, TwoFoldVision.
- Meg Honan, Principal Ergonomic Program Manager at Genentech, Instructor, the School of Public Health, UCB.
- Ken Jacobs, Labor Specialist and Chair, Labor Center, UCB.
- Melissa Jancourt, Associate Vice President, HGA Architects and Engineers.
- Kriss Kennedy, MA, TECHNE Architects and Mechanical Engineering Department, University of Houston.
- David Lindeman, PhD, Director of Health, Center for Information Technology Research in the Interest of Society (CITRIS), UCB, and Director, Center for Technology and Aging (CTA).
- Ian Mitroff, PhD, Professor, The College of Environmental Design and School of Public Health, UCB.
- Jeff Pfeffer, PhD, Professor, Graduate School of Business, Stanford University.

- Joan Price, Principal, Gensler, San Francisco.
- Stefano Schiavon, PhD, Professor of Architecture in Sustainability, Energy and Environment, College of Environmental Design, UCB.
- Peter Schnall, PhD, Professor Emeritus of Medicine, Director, Center for Social Epidemiology, UCI.
- David Scrimger, EH&S Construction Specialist, UCB.
- Laura Stock, MPH, Director, Labor Occupational Health Program (LOHP), UCB.
- John Swartzberg, MD, Clinical Professor, Emeritus, School of Public Health, UCB.

The Interdisciplinary Center for Healthy Workplaces is an entity within the University of California, Berkeley—a public, non-profit institution (Tax ID Number 94-6002123). Allocations should be given to “UC Regents” and designated to be given to “ICHW.”